

## PATENT COOPERATION TREATY

## PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P04EB020PCT	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. <b>PCT/KR2004/003559</b>	International filing date (day/month/year) <b>31 DECEMBER 2004 (31.12.2004)</b>	Priority date (day/month/year) <b>19 JANUARY 2004 (19.01.2004)</b>
International Patent Classification (IPC) or national classification and IPC <b>H04N 7/015(2006.01)i</b>		
Applicant <b>Electronics and Telecommunications Research Institute et al</b>		
<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p> <p>a. <input type="checkbox"/> (sent to the applicant and to the International Bureau) a total of _____ sheets, as follows:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</li> <li><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</li> </ul> <p>b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p> <p>4. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Box No. I Basis of the report</li> <li><input type="checkbox"/> Box No. II Priority</li> <li><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li><input type="checkbox"/> Box No. IV Lack of unity of invention</li> <li><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li><input type="checkbox"/> Box No. VI Certain documents cited</li> <li><input type="checkbox"/> Box No. VII Certain defects in the international application</li> <li><input type="checkbox"/> Box No. VIII Certain observations on the international application</li> </ul>		

Date of submission of the demand <b>19 AUGUST 2005 (19.08.2005)</b>	Date of completion of this report <b>04 MAY 2006 (04.05.2006)</b>
Name and mailing address of the IPEA/KR Korean Intellectual Property Office 920 Dunsan-dong, Seo-gu, Daejeon 302-701, Republic of Korea Facsimile No. 82-42-472-7140	Authorized officer SHIN, Jae Chul Telephone No. 82-42-481-8215

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/KR2004/003559

## Box No. I Basis of the report

1. With regard to the **language**, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
  - This report is based on translations from the original language into the following language English which is the language of a translation furnished for the purposes of:
    - international search (under Rules 12.3 and 23.1(b))
    - publication of the international application (under Rule 12.4)
    - international preliminary examination (under Rules 55.2 and/or 55.3)
  
2. With regard to the **elements** of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):
  - the international application as originally filed/furnished
  - the description:  
pages \_\_\_\_\_ received by this Authority on \_\_\_\_\_ as originally filed/furnished  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - the claims:  
pages \_\_\_\_\_ as originally filed/furnished  
pages\* \_\_\_\_\_ as amended (together with any statement) under Article 19  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - the drawings:  
pages \_\_\_\_\_ as originally filed/furnished  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
  - the sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.
  
3.  The amendments have resulted in the cancellation of:
  - the description, pages \_\_\_\_\_
  - the claims, Nos. \_\_\_\_\_
  - the drawings, sheets \_\_\_\_\_
  - the sequence listing (*specify*) : \_\_\_\_\_
  - any table(s) related to sequence listing (*specify*) : \_\_\_\_\_
  
4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
  - the description, pages \_\_\_\_\_
  - the claims, Nos. \_\_\_\_\_
  - the drawings, sheets \_\_\_\_\_
  - the sequence listing (*specify*): \_\_\_\_\_
  - any table(s) related to sequence listing (*specify*) : \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/KR2004/003559

**Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Claims	<u>6-8, 14-16</u>	YES
	Claims	<u>1-5, 9-13</u>	NO
Inventive step (IS)	Claims	<u>None</u>	YES
	Claims	<u>6-8, 14-16</u>	NO
Industrial applicability (IA)	Claims	<u>1-16</u>	YES
	Claims	<u>None</u>	NO

**2. Citations and explanations (Rule 70.7)**

D1 : US 5828954 A

The present invention relates to apparatus and method for modulating of on-channel repeater.

The claims 1-5 and 9-13 are characteristic of the modulator to have MUX, up-sampling means, I/Q filter, DAC, RF converter and adder. The dependent claims 6-8 and 14-16 define the filter as ER (Equi-Ripple) or SRRC(Square Root Raised Cosine).

D1 shows, in Fig.2, a modulator to have MUX, sampling-rate expander, I/Q filter, DAC and RF converter. It is, in D1, described that the function of the above part corresponds to that of the above part in the claims. So, the claims 1-5 and 9-13 are not novel.

Considering the difference between the claims 6-8 and 14-16 and D1, the present invention uses the ER or SRRC filter. However, the filter is the prior art that a person skilled in the art could use. Therefore, the invention, as in claims 1-16, is not considered to involve an inventive step.